**Carmarthenshire County Council**

**Assessing Impact**

**The Equality Act 2010**

The Equality Act 2010 (the Act) brings together and replaces the previous anti-discrimination laws with a single Act. It simplifies and strengthens the law, removes inconsistencies and makes it easier for people to understand and comply with it. The majority of the Act came into force on 1 October 2010.

The Act includes a new public sector equality duty (the ‘general duty’), replacing the separate duties on race, disability and gender equality. This came into force on 5 April 2011.

**What is the general duty?**

The aim of the general duty is to ensure that public authorities and those carrying out a public function consider how they can positively contribute to a fairer society through advancing equality and good relations in their day-to-day activities. The duty ensures that equality considerations are built into the design of policies and the delivery of services and that they are kept under review. This will achieve better outcomes for all.

The duties are legal obligations. Failure to meet the duties may result in authorities being exposed to legal challenge.

Under equality legislation, public authorities have legal duties to pay ‘due regard’ to the need to eliminate discrimination and promote equality with regard to race, disability and gender, including gender reassignment, as well as to promote good race relations. The Equality Act 2010 introduces a new public sector duty which extends this coverage to age, sexual orientation, pregnancy and maternity, and religion or belief. The law requires that this duty to pay ‘due regard’ be demonstrated in the decision making process. It is also important to note that public authorities subject to the equality duties are also likely to be subject to the obligations under the Human Rights Act and it is therefore wise also to consider the potential impact that decisions could have on human rights as part of the same process.

**Carmarthenshire’s approach to Equality Impact**

In order to ensure that the council is considering the potential equality impact of its proposed policies and practices, and in order to evidence that we have done so, every proposal will be required to be supported by the attached Equality Impact Assessment. Where this assessment identifies a significant impact then more detail may be required.

**Reporting on assessments**

Where it is clear from the assessment that the likely impact on the authority’s ability to meet the general duty is substantial, then it must publish a report.

**Initial and Detailed Equality Impact Assessments**

The initial EIA (appendix 1) is a simple and quick method of assessing the effect of a policy, function, procedure, decision including financial cuts on one or more of the protected characteristics.

The Service Manager responsible for the relevant new or revised policies, functions, procedures and financial decisions must undertake, at least, an initial EIA and where relevant a detailed Equality Impact Assessment (appendix 2); EIA must be attached as background paper with reports to Executive and Scrutiny .

**Equality impact assessment – Process to follow where HR implications have been identified**

Lead/service Manager to identify the policy, function, procedure or a change in Policy.

Undertake an initial or detailed EIA. See appendix 1 and if there is a requirement go on to complete appendix 2

Organisational change/restructure

EIA and proposed changes taken to change review panel for comment.

New HR Policy or HR policy change

Circulate EIA with draft Policy to strategic HR team for comment.

Amendments identified /further work to complete or referral to legal

Passed to the Policy and Partnership Team for approval (equalities@carmarthenshire.gov.uk)

EIA to accompany documentation when presented to ERG/CMT/Executive Board

**Initial Equalities Impact Assessment Template Appendix 1**

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| **Department:** | **Completed by (lead):** | | **Date of initial assessment:**  **Revision Dates:** |
| **Area to be assessed: (i.e. name of p*olicy, function, procedure, practice or a financial decision)*** |  | | |
| **Is this existing or new function/policy, procedure, practice or decision?** | |  | |
| **What evidence has been used to inform the assessment and policy? (please list only)** | | | |
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| **1. Describe the aims, objectives or purpose of the proposed function/policy, practice, procedure or decision and who is intended to benefit.** | |  | | | | |
| **The Public Sector Equality Duty requires the Council to have “due regard” to the need to:-**  **(1)** eliminate unlawful discrimination, harassment and victimisation;  **(2)** advance equality of opportunity between different groups; and  **(3)** foster good relations between different groups  (see guidance notes) | | **2. What is the level of impact on each group/ protected characteristics in terms of the three aims of the duty?**  **Please indicate high (H) medium (M), low (L), no effect (N) for each.** | **3. Identify the risk or positive effect that could result for each of the group/protected characteristics?** | | | **4. If there is a disproportionately negative impact what mitigating factors have you considered?** |
| **Risks** | **Positive effects** | |
| **Protected characteristics** | **Age** |  |  | |  |  |
| **Disability** |  |  | |  |  |
| **Gender reassignment** |  |  | |  |  |
| **Race** |  |  | |  |  |
| **Religion/Belief** |  |  | |  |  |
| **Pregnancy and maternity** |  |  | |  |  |
| **Sexual Orientation** |  |  | |  |  |
| **Sex** |  |  | |  |  |
| **Welsh language** |  |  | |  |  |
|  | **Any other area** |  |  | |  |  |

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| **5. Has there been any consultation/engagement with the appropriate protected characteristics?** | | **YES  NO** | | |
| **6. What action(s) will you take to reduce any disproportionately negative impact, if any?** | | | | |
| **7. Procurement**  **Following collation of evidence for this assessment, are there any procurement implications to the activity, proposal, service.**  **Please take the findings of this assessment into your procurement plan. Contact the corporate procurement unit for further advice.** | | | | |
| **8. Human resources**  **Following collation of evidence for this assessment, are there any Human resource implications to the activity, proposal or service?** | | | | |
| **9. Based on the information in sections 2 and 6, should this function/policy/procedure/practice or a decision proceed to Detailed Impact Assessment?** (recommended if one or more H under section 2) | | **YES** | | **NO** |
| **Approved by:**  Head of Service |  | | **Date:** | |

**Detailed Equalities Impact Assessment Template Appendix 2**

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| **Department:** | Please see initial impact assessment |
| **Completed by (lead):** |  |
| **Date of Detailed assessment:** |  |
| **Area to be assessed: (*Policy, function, procedure, practice or a financial decision))*** | Please see initial impact assessment |
| **Is this existing or new function/policy/Procedure/ practice** | Please see initial impact assessment |

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| **1. Describe the aims, objectives or purpose of the function/policy, practice or procedure and who is intended to benefit.** | | Please see initial impact assessment | | | | |
| **2. Please list any existing documents, evidence, research which have been used to inform the Detailed equality impact assessment.** (This must include relevant data used in this assessment**)** | |  | | | | |
| **3. Has any consultation, involvement been undertaken with the protected characteristics to inform this assessment?** (please provide details, who and how consulted) | |  | | | | |
| **4. What is the actual/likely impact?** | |  | | | | |
| **5. What actions are proposed to address the impact? *(The actions needs to be specific, measurable and outcome based)*** | | **What are we going to do** | **Who will be responsible** | | **When will it be completed** | **How will we know we have achieved our objective** |
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| **6. How will actions be monitored?** | |  | | | | |
| **Approved by**:  Head of Service |  | | | Date: | | |

Thank you for completing this assessment.

For further information regarding Assessing Impact, please contact the -

Policy & Partnership Team

Chief Executive’s Department

01267 22(4914) / (4676)

[equalities@carmarthenshire.gov.uk](mailto:equalities@carmarthenshire.gov.uk)

**Please send a copy of the assessment to the above e-mail address upon completion.**